



Since our inception in 1997, there has been no higher form of flattery than having our clients recommend us as their Broker-Dealer/RIA to another industry professional. We are always extremely grateful and appreciative of the good faith and loyalty. Growing the foundation of this proud and private firm with **Organic Growth** is our most preferred way of adding to the unique value proposition of our company. We are always excited to engage with Registered Representative, Financial Advisors & RIA's to create new and beneficial relationships.

We have revamped our KFN Rep Referral Program. It is known as the "KFN Edge" program. Our goal is to incentivize our existing Reps to recommend FA's registered at other firms to contact and eventually join Kovack Financial Network.

For this referral and hopeful eventual recruitment, you will be compensated with a **3%** upfront bonus* based on the candidates prior trailing 12 GDC on Securities/Advisory production. In order to qualify, all reps Referred must have (1) proven 12-month securities/RIA GDC of at least \$75,000; (2) the FA making the introduction must contact the Branch Development Department and provide the basic facts and information about the person being introduced; and lastly (3) must complete this form and submit to the Branch Development Department within 5 business days of making the referral. (4) The FA introduced must be **separate** from your Branch and practice, and on their own. Please note that all referred Reps must also remain at KFN for at least one year after official registration or the referral fee will be forfeited.

Thank you for your professionalism & commitment to growing our Firm!

Referring Rep/Advisor Name : _____

KFN Rep #: _____

Advisor being Referred / Ref Name: _____

Date Introduction was made: _____

KSI Branch Development Approval: _____

GDC (Approx. Trailing 12): _____

* Paid once New FA has cleared ALL SRO & State Registrations.